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PTO/SB/05 (2/98)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(h))

Attorney Docket No. 06192.0257.NPUS00

First Named Inventor or Application Identifier Dong-Gyu KIM

Title Thin Film Transistor Array Substrate For Liquid Crystal Display

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

**ADDRESS TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form (Form PTO-1082)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 18 ]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 9 ]
4. ☐ Oath or Declaration [Total Pages 3 ]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and  
1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy  
of the oath or declaration is supplied under Box 4b, is considered  
as being part of the disclosure of the accompanying application  
and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above  
copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Two)  
(should be specifically itemized)
14. ☐ \*Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:

\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

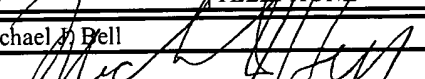
of prior application No: /

Prior Application Information: Examiner:

Group/Art Unit:

**18. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label 22930 or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

NAME	Michael J. Bell HOWREY SIMON ARNOLD & WHITE, LLP				
ADDRESS	Box No. 34 1299 Pennsylvania Avenue, N.W.				
CITY	Washington	STATE	DC	ZIP CODE	20004-2402
COUNTRY	US	TELEPHONE	202-783-0800	FAX	202-383-7195
Name (Print/Type)	Michael J. Bell			Registration No. (Attorney/Agent)	39,604
Signature				Date	September 28, 2001

## HOWREY SIMON ARNOLD &amp; WHITE, LLP

Box No. 34

1299 Pennsylvania Avenue, NW

Washington, DC 20004-2402

(202) 783-0800

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

Attorney Docket No. 06192.0257.NPUS00

ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
FEE CODE	VALUE FURNISHED

Sir:

Transmitted herewith for filing is the patent application of

Inventor: **Dong-Gyu KIM**For: **THIN FILM TRANSISTOR ARRAY SUBSTRATE FOR LIQUID CRYSTAL DISPLAY**

Enclosed is a new application comprising:

- ☒ A specification containing: 14 pages of description prior to the claims; 3 pages of claims (11 claims); 2 one (1) page abstract; 9 sheets of drawings (Figures 1, 2, 3, 4A, 4B, 5A, 5B, 6, 7, 8, 9 and 10)

- ☒ PTO SB/05

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	11-20 =	* 0
INDEP. CLAIMS	1-3 =	* 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY	
RATE	
	\$ 345.00
x 9 =	
x 39 =	
+ 130 =	
TOTAL	

OTHER THAN A SMALL ENTITY	
RATE	FEE
	\$ 710.00
x 18 =	-0-
x 80 =	-0-
+ 270 =	
TOTAL	\$710.00

- ☒ A check in the amount of **\$710.00** to cover the filing fee is enclosed.
- ☒ The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 08-3038 referencing docket number 06192.0257.NPUS00. *A copy of this sheet is enclosed.*

- ☒ Any patent application processing fees under 37 CFR 1.17
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Date September 28, 2001

*Michael J. Bell*  
Michael J. Bell  
Registration No. 39,604

September 28, 2001

**Box New Applications**

Assistant Commissioner for Patents  
Washington, DC 20231

Re: New Utility Non-Provisional U.S. Patent Application  
Application No. **TO BE ACCORDED** Filed **HEREWITH**  
Title: **THIN FILM TRANSISTOR ARRAY SUBSTRATE FOR LIQUID  
CRYSTAL DISPLAY**  
Inventors: **Dong-Gyu KIM**  
Our Ref: **06192.0257.NPUS00**

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and  
Trademark Office:

1. Form PTO SB/05;
2. Form PTO 1082;
3. U.S. Utility Patent Application entitled:

**THIN FILM TRANSISTOR ARRAY SUBSTRATE FOR  
LIQUID CRYSTAL DISPLAY**

and naming as inventors: **Dong-Gyu KIM**

the application consisting of:

a. A specification containing:

- (i) 14 pages of description prior to the claims;
- (ii) 3 pages of claims (11 claims);
- (iii) a one (1) page abstract;

b. 9 sheets of drawings: (Figures 1, 2, 3, 4A, 4B, 5A, 5B, 6, 7, 8, 9 and  
10);

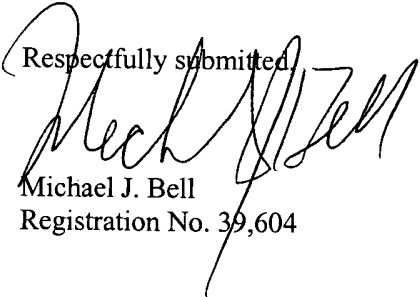
4. Executed Combined Declaration-Power Attorney;
5. Executed Assignment and PTO 1595 Assignment Recordation Sheet

6. Check No. 002263 for \$710.00 to cover the basic filing and extra claims fees;  
Check No. 002262 for \$40.00 to cover the Assignment Recordation Fee;
7. Claim for priority and certified copy of Korean Patent Application No. 2001-26721 filed  
May 14, 2001; and
8. Two (2) return postcards

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038 referencing docket number 06192.0257.NPUS00.  
*A copy of this letter is enclosed.*

Respectfully submitted,

  
Michael J. Bell  
Registration No. 39,604

Enclosures

09564645-092801  
T08260" 54949560